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*Admitted only in Maryland
*Admitted only in Virginia
•Practice Limited to Federal Agencies

January 26, 2005

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LUNDGREN@SKGF.COM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Mail Stop: Reconstruction

Re: U.S. Utility Patent Application
Appl. No. 09/814,123; Filed: March 22, 2001
For: **Aryl Substituted Pyrazoles, Triazoles, and Tetrazoles, and the Use Thereof**
Inventors: Hogenkamp *et al.*
Our Ref: 1861.1270001/JMC/JSL

Sir:

Further to the Notice Under 37 C.F.R. § 1.251, mailed October 26, 2004, transmitted herewith for appropriate action are the following documents:

1. Reply to Notice Under 37 C.F.R. § 1.251 - Pending Application;
2. A copy of the Notice Under 37 C.F.R. § 1.251;
3. A copy of Applicants' record of all of the correspondence between the Office and the Applicants for the captioned application (except for the U.S. patent documents);
4. List of Correspondence between the Applicants and the Office for U.S. Appl. No. 09/814,123;
5. Copies of all foreign patent documents and non-patent documents cited on the Form PTO-1449 submitted with the Information Disclosure Statement on December 13, 2001; and
6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are

Commissioner for Patents
January 26, 2005
Page 2

necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Jeffrey S. Lundgren
Agent for Applicants
Registration No. 51,978

JMC/JSL/asl
Enclosures

354099v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hogenkamp *et al.*

Appl. No.: 09/814,123

Filed: March 22, 2001

For: **Aryl Substituted Pyrazoles,
Triazoles and Tetrazoles, and the
Use Thereof**

Confirmation No.: 2060

Art Unit: 1626

Examiner: Shameem, Golam M.

Atty. Docket: 1861.1270001/JMC/JSL

Reply to Notice Under 37 C.F.R. § 1.251 - Pending Application

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Further to the Notice Under 37 C.F.R. § 1.251 mailed October 26, 2004, and pursuant to 37 C.F.R. § 1.251, attached hereto are:

- (a) A copy of Applicants' record of all of the correspondence between the Office and the Applicants for the captioned application (except for the U.S. Patent documents);
- (b) List of Correspondence between the Applicants and the Office for U.S. Appl. No. 09/814,123; and
- (c) Copies of all foreign patent documents and non-patent documents cited on the Form PTO-1449 submitted with the Information Disclosure Statement on December 13, 2001.

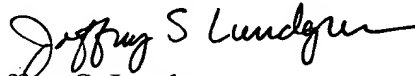
Copies of the U.S. Patents cited on the Form PTO-1449 submitted with the Information Disclosure Statement on December 13, 2001, and Form PTO-1449 submitted with the First Supplemental Information Disclosure Statement on December 19, 2001, are not required according to the Notice Under 37 C.F.R. § 1.251, and therefore are not submitted with this Reply.

The attached copy is a complete and accurate copy of Applicants' record of all of the correspondence between the Office and the Applicants for the above-identified application (except for the U.S. Patent documents). Furthermore, Applicants is not aware of any correspondence between the Office and Applicants for the above-identified application that is not among Applicants' records submitted herewith.

Prompt attention to reconstruction of the captioned application is respectfully requested.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Jeffrey S. Lundgren
Agent for Applicants
Registration No. 51,978

Date: January 26, 2005
1100 New York Avenue, N.W.
Washington, D.C. 20005-3934
(202) 371-2600
354087v1



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|----------------------|------------------|
| 09/814,123 | 03/26/2005 | Derk J. Hogenkamp | 1861.1270001/JMC/THN | 2060 |

26111 7590 10/26/2004

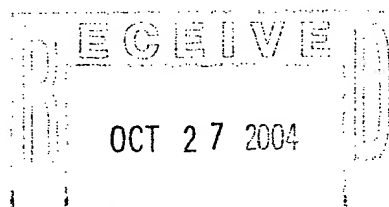
STERNE, KESSLER, GOLDSTEIN & FOX PLLC
1100 NEW YORK AVENUE, N.W.
WASHINGTON, DC 20005

| EXAMINER | |
|------------------|--|
| SHAMEEM, GOLAM M | |

| ART UNIT | PAPER NUMBER |
|----------|--------------|
| 1626 | |

DATE MAILED: 10/26/2004

Please find below and/or attached an Office communication concerning this application or proceeding.



DOCKETED

JMC, THN
THN 10/28/04

File History, Statement January 26, 2005



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

NOTICE UNDER 37 CFR 1.251 - Pending Application

☐ The file of the above-identified application cannot be located after a reasonable search. Therefore, the Office is initiating the reconstruction of the file of the above-identified application pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of applicant's record (if any) of all of the correspondence between the Office and applicant for the above-identified application (except for U.S. patent documents), a list of such correspondence, and a statement that the copy is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and whether applicant is aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☒ The following paper(s) pertaining to the above-identified application cannot be located after a reasonable search:

Therefore, the Office is initiating the reconstruction of such paper(s) pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of the paper(s) listed above and a statement that the copy of such paper(s) is a complete and accurate copy of applicant's record of such paper(s).

Alternatively, applicant may reply to this notice by producing applicant's record (if any) of all of the correspondence between the Office and the applicant for the above-identified application for the Office to copy (except for U.S. patent documents), and provide a statement that the papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), whether applicant is aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records. Such records must be brought to the Customer Service Center in the Office of Initial Patent Examination (Crystal Plaza 2, 2011 South Clark Place, Arlington, VA 22202).

If applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application (or any copy of the paper(s) listed above), applicant must reply to this notice by providing a statement that applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

Failure to reply to this notice in a timely manner will result in abandonment of the above-identified application. The three-month period for reply to this notice may be extended (up to a maximum of six months) under the provisions of 37 CFR 1.136(a). However, failure to reply within this three-month period will result in a reduction of any patent term adjustment. See 37 CFR 1.704(b).

☐ A printout from PALM of the contents of the file of the above-identified application is included with this notice.

Direct the reply to this notice to:

Mail Stop RECONSTRUCTION
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Direct questions concerning this notice to:

Diane Terry
703-305-8543

() -

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

In re Application of: Hogenkamp et al.

Application No.: 09/814,123

Filing Date: March 22, 2001

Title: Aryl Substituted Pyrazoles, Triazoles
and Tetrazoles, and the Use Thereof

Direct to: Mail Stop RECONSTRUCTION
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

NOTICE UNDER 37 CFR 1.251 - Pending Application

Statement (check the appropriate box):

- ☒ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.
- ☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).
- ☐ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.
- ☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

January 26, 2005
Date

Jeffrey S. Lundgren
Signature

Jeffrey S. Lundgren Reg. No 51,978
Typed or printed name

A copy of this notice should be returned with the reply.

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

**List of Correspondence between the Applicants and Office
for U.S. Appl. No. 09/814,123**

| | Document Title | Date | Applicants or PTO correspondence |
|---|---|--------------------|---|
| 1 | Transmittal of: (1) SKGF Cover Letter; (2) PTO Fee Transmittal Form PTO/SB/17; (3) USPTO Utility Patent Application Transmittal Form PTO/SB/05; (4) U.S. Utility Patent application; (5) Authorization to Treat a Reply as an Ext. of Time; (6) Our check for \$1,230.00; and (7) Return postcards. | March 22, 2001 | Applicants |
| 2 | Received: (1) Filing Receipt. | May 31, 2001 | PTO |
| 3 | Received: (1) Notice to File Missing Parts of Nonprovisional Application. | May 31, 2001 | PTO |
| 4 | Transmittal of: (1) SKGF Cover Letter; (2) Fee Transmittal Form PTO/SB/17; (3) Recordation Form Cover Sheet (Form PTO-1595); (4) Assignment to CoCensys, Inc.; (5) Our check for \$40.00; and (6) Return postcard. | June 21, 2001 | Applicants |
| 5 | Transmittal of: (1) SKGF Cover Letter; (2) Fee Transmittal Form PTO/SB/17; (3) Copy of Notice to File Missing Parts; (4) Original Declaration; (5) Our check for \$130.00; and (6) Return postcard. | June 21, 2001 | Applicants |
| 6 | Received: (1) Updated Filing Receipt. | July 26, 2001 | PTO |
| 7 | Received: (1) Notice of Recordation of Assignment Document to CoCensys, Inc.; (2) Return copy of Form PTO-1595; (3) Return copy of Assignment to CoCensys, Inc.; (4) Return copy of SKGF Cover Letter dated June 21, 2001; and (5) Return copy of Fee Transmittal Form. | September 4, 2001 | PTO |
| 8 | Received: (1) Office Action along with Notice of References Cited; and (2) copy of EP 0 554 829. | September 13, 2001 | PTO |

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|----|---|-----------------------------|------------|
| 9 | Transmittal of: (1) SKGF Cover Letter; (2) Fee Transmittal Form PTO/SB/17; (3) Amendment and Reply Under 37 C.F.R. § 1.111; (4) Information Disclosure Statement; (5) Form PTO-1449; (6) copies of all foreign patent documents and non-patent documents cited on Form PTO-1449; (7) Our check for \$420.00; and (8) Return postcard. | December 13, 2001 | Applicants |
| 10 | Transmittal of: (1) SKGF Cover Letter; (2) Fee Transmittal Form PTO/SB/17; (3) First Supplemental Information Disclosure Statement; (4) Form PTO-1449; (5) Our check for \$180.00; and (6) Return postcard. | December 19, 2001 | Applicants |
| 11 | Received: (1) Notice of Publication of Application. | January 17, 2002 | PTO |
| 12 | Email Request order for Published Application. | March 7, 2002 | Applicants |
| 13 | Received: (1) U.S. Patent Appl. Publication No. 2002/0006947 A1. | March 11, 2002 (printed) | PTO |
| 14 | Facsimile Transmittal of: (1) Fax cover sheet; (2) Fax verification page; (3) Copy of date-stamped postcard filed December 13, 2001; (4) Copy of SKGF Cover letter filed December 13, 2001; (5) Copy of Fee Transmittal filed December 13, 2001; (6) Copy of Amendment and Reply Under 37 C.F.R. § 1.111 filed December 13, 2001; (7) Copy of IDS filed December 13, 2001; and (8) Copy of Form PTO-1449 filed December 13, 2001. | May 9, 2002 | Applicants |
| 15 | Received: (1) Restriction Requirement. | July 1, 2002 | PTO |
| 16 | Transmittal of: (1) Two Recordation Form Cover Sheets; (2) Certificate of Amendment of CoCensys, Inc.; (3) Assignment to Euro-Celtique S.A.; (4) Fee Transmittal Form PTO/SB/17; (5) Our check for \$80.00; and (6) Return postcard. | July 31, 2002 | Applicants |
| 17 | Transmittal of: (1) SKGF Cover Letter; (2) Reply to Restriction Requirement; and (3) Return postcard. | July 31, 2002 | Applicants |
| 18 | Received: (1) Office Action. | August 27, 2002 | PTO |

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| 19 | Received: (1) Notice of Recordation of Assignment Document: Change of Name Recordation to Purdue Pharma Ltd.; (2) Return copy of Form PTO-1595; (3) Return copy of Certificate of Amendment of CoCensys, Inc.; (4) Return copy of Fee Transmittal Form; and (5) Return copy of SKGF Cover Letter dated July 31, 2002. | October 3, 2002 | PTO |
| 20 | Received: (1) Notice of Recordation of Assignment Document to Euro-Celtique S.A.; (2) Return copy of Form PTO-1595; and (3) Return copy of Assignment. | October 4, 2002 | PTO |
| 21 | Transmittal of: (1) SKGF Cover letter (2) Amendment and Reply Under 37 C.F.R. 1.111; and (3) Return postcard. | November 27, 2002 | Applicants |
| 22 | Facsimile Transmittal of: (1) Fax cover page; (2) Fax verification page; (3) Copy of date-stamped postcard receipt originally filed November 27, 2002; (4) Copy of SKGF Cover letter originally filed November 27, 2002; and (5) Copy of Amendment and Reply Under 37 C.F.R. § 1.111 originally filed November 27, 2002. | January 16, 2003 | Applicants |
| 23 | Transmittal of: (1) SKGF Cover Letter; (2) Copy of date-stamped postcard receipt originally filed December 13, 2001, via hand carry, and re-submitted May 9, 2002; (3) Copy of SKGF Cover Letter originally filed December 13, 2001, via hand carry, and re-submitted May 9, 2002; (4) Copy of IDS originally filed December 13, 2001, via hand carry, and re-submitted May 9, 2002; (5) Copy of Form PTO-1449 originally filed December 13, 2001, via hand carry, and re-submitted May 9, 2002; and (6) Return postcard. | February 13, 2003 | Applicants |
| 24 | Transmittal of: (1) Fax cover page; (2) Fax verification page; (3) SKGF Cover Letter; (4) Supplemental Amendment and Reply; and (5) Return postcard. | February 14, 2003 | Applicants |

| | | | |
|----|--|-------------------|------------|
| 25 | Received: (1) Notice of Allowance and Issue Fee Due; (2) Notice of Allowability; (3) Initialed copies of Form PTO-1449 submitted with the: (i) Information Disclosure Statement originally filed on December 13, 2001; and (ii) First Supplemental Information Disclosure Statement originally filed on December 19, 2001. | March 13, 2003 | PTO |
| 26 | Transmittal of: (1) SKGF Cover Letter; (2) Amendment Under 37 C.F.R. § 1.312; (3) One sheet of drawings (Figs. 1A-1D); and (4) Return postcard. | May 27, 2003 | Applicants |
| 27 | Transmittal of: (1) SKGF Cover Letter; (2) Issue Fee Transmittal (Form PTOL-85B); (3) Fee Transmittal Form PTO/SB/17; (4) Request for Reconsideration of Patent Term Adjustment Under 37 C.F.R. § 1.705, with Exhibits A and B; (5) Originally Executed Power of Attorney from Assignee; (6) Originally executed Certificate Under 37 C.F.R. § 3.73(b); (7) Credit Card Payment for \$1,830.00; and (8) Return postcard. | June 12, 2003 | Applicants |
| 28 | Transmittal of: (1) SKGF Cover Letter (2) Status Inquiry Letter (3) Return postcard. | December 15, 2003 | Applicants |
| 29 | Received: (1) Response to Rule 312 Communication. | June 2, 2003 | PTO |
| 30 | Received: (1) Decision on Request for Reconsideration for Patent Term Adjustment; and (2) Copy of the updated PAIR screen showing the determination. | April 8, 2004 | PTO |
| 31 | Received: (1) Notice Under 37 C.F.R. § 1.251 - Pending Application. | October 26, 2004 | PTO |

354200v1

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
ATTORNEYS AT LAW
1100 NEW YORK AVENUE, N.W. • WASHINGTON, D.C. 20005-3934
PHONE: (202) 371-2600 • FACSIMILE: (202) 371-2540 • www.skgf.com

FILE COPY

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KRISTIN K. VIDOVICH
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ANDREA J. KAMAGE**
NANCY J. LEITH**
EL ZABETH J. HAANES**
MARK P. TERRY**

*BAR OTHER THAN D.C.
**REGISTERED PATENT AGENT
***SENIOR COUNSEL

March 22, 2001

WRITER'S DIRECT NUMBER:
(202) 371-2673

INTERNET ADDRESS:
JCOVERT@SKGF.COM

Commissioner for Patents
Washington, D.C. 20231

Box Patent Application

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)
Appl. No. *To be assigned*; Filed: *Herewith* (March 22, 2001)
For: **Aryl Substituted Pyrazoles, Triazoles and Tetrazoles, and the Use Thereof**
Inventors: Hogenkamp *et al.*
Our Ref: 1861.1270001/JMC/THN

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal (Form PTO/SB/17) (*in duplicate*);
2. USPTO Utility Patent Application Transmittal Form PTO/SB/05 (*in duplicate*);
3. U.S. Utility Patent Application entitled:

Aryl Substituted Pyrazoles, Triazoles and Tetrazoles, and the Use Thereof
and naming as inventors:

Derk J. HOGENKAMP
Phong NGUYEN
Ji YANG

Commissioner for Patents
March 22, 2001
Page 2

the application comprising:

- a. specification containing:
 - i. 32 pages of description prior to the claims;
 - ii. 9 pages of claims (23 claims);
 - iii. a one (1) page abstract;
 - b. an Application Data Sheet (37 C.F.R. § 1.76).
4. 37 C.F.R. § 1.136(a)(3) Authorization to Treat a Reply As Incorporating An Extension of Time (*in duplicate*);
 5. Our check no. 30730 for \$1,230.00 to cover:
\$710.00 filing fee for patent application;
\$520.00 fee for excess claims; and
 6. Two (2) return postcards.

Correspondence should be sent to Customer Number 26111.

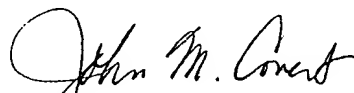
It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



John M. Covert
Attorney for Applicants
Registration No. 38,759

JMC/THN/lam
Enclosures

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$1,230.00)

Complete if Known

| | |
|----------------------|----------------------|
| Application Number | To be assigned |
| Filing Date | March 22, 2001 |
| First Named Inventor | Derk J. HOGENKAMP |
| Examiner Name | To be assigned |
| Group Art Unit | To be assigned |
| Attorney Docket No. | 1861.1270001/JMC/THN |

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number

19-0036

Deposit Account Name

Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☒ Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | 710.00 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

| | Extra | Fee from below | Fee Paid |
|----------------------------|---------|----------------|----------|
| Total Claims 25 - 20** = 5 | X 18.00 | | = 90.00 |
| Indep. Claims 5 - 3** = 2 | X 80.00 | | = 160.00 |
| Multiple Dependent | 270.00 | | = 270.00 |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim |
| 108 | 80 | 209 | 40 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 520.00

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee paid |
|----------|----------|----------|----------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 130 | 123 | 130 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 481 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) :

Other fee (specify) :

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)

John M. Covert

Registration No.
(Attorney/Agent)

38,759

Telephone

202-371-2600

Signature

John M. Covert

Date

Mar. 22, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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SKGF Rev. 11/13/00 mnac

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

| | |
|------------------------|---|
| Attorney Docket No. | 1861.1270001/JMC/THN |
| First Inventor | Derk J. HOGENKAMP |
| Title | Aryl Substituted Pyrazoles, Triazoles and Tetrazoles, and the Use Thereof |
| Express Mail Label No. | |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 42]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets _____]
5. Oath or Declaration [Total Pages _____]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76.
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Authorization under 37 C.F.R. § 1.136(a)(3)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No: _____
Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

Customer No. 26111

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

| | | | | | |
|---------|--|-----------|--|----------|--|
| NAME | STERNE, KESSLER, GOLDSTEIN & FOX P.C. | | | | |
| | Attorneys at Law PATENT TRADEMARK OFFICE | | | | |
| ADDRESS | | | | | |
| CITY | | STATE | | ZIP CODE | |
| COUNTRY | | TELEPHONE | | FAX | |

| | | | |
|-------------------|----------------|-----------------------------------|----------------|
| NAME (Print/Type) | John M. Covert | Registration No. (Attorney/Agent) | 38,759 |
| SIGNATURE | | Date | March 22, 2001 |

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IRNE, KESSLER, GOLDSTEIN & FOX PLLC
ATTORNEYS AT LAW
GOVERNMENT FEES ACCT.
1100 NEW YORK AVE. NW, SUITE 600
WASHINGTON, D.C. 20005

| REMITTANCE ADVICE | | | | | |
|-------------------|--|--|--|--|--|
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AP, AC, MDC

zenkamp et al.

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John M. Court

MP

⑈030730⑈ ⑆054001220⑆ 2076500307989⑈

Applicants: Hogenkamp *et al.*

Application No.: To be assigned

Due Date: March 24, 2001
Art Unit: To be assigned
Examiner: To be assigned
Docket: 1861.1270001

Applicants: Hogenkamp *et al.*

Application No.: To be assigned

Filed: Herewith (March 22, 2001)

Due Date: March 24, 2001
Art Unit: To be assigned
Examiner: To be assigned
Docket: 1861.1270001
Atty: JMC/THN

For: Aryl Substituted Pyrazoles, Triazoles and Tetrazoles, and the Use Thereof

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. SKGF Cover Letter (*in duplicate*);
2. PTO Fee Transmittal (Form PTO/SB/17) (*in duplicate*);
3. USPTO Utility Patent Application Transmittal Form PTO/SB/05 (*in duplicate*);
4. U.S. Utility Patent Application entitled: Aryl Substituted Pyrazoles, Triazoles and Tetrazoles, and the Use Thereof, and naming as inventors: Derk J. HOGENKAMP, Phong NGUYEN, and Ji YANG, the application comprising: a. specification containing: i. 32 pages of description prior to the claims; ii. 9 pages of claims (23 claims); iii. a one (1) page abstract; b. an Application Data Sheet (37 C.F.R. § 1.76);
5. 37 C.F.R. § 1.136(a)(3) Authorization to Treat a Reply As Incorporating An Extension of Time (*in duplicate*);
6. Our check no. 30730 for \$1,230.00 to cover: \$710.00 filing fee for patent application; \$520.00 fee for excess claims; and
7. Two (2) return postcards.

Correspondence should be sent to Customer Number 26111.

March 22, 2001



Box: Patent Application

Please Date Stamp And Return To Our Courier

Application No.: To be assigned

Applicants: Hogenkamp *et al.*

Application No.: To be assigned

Filed: Herewith (March 22, 2001)

For: Aryl Substituted Pyrazoles, Triazoles and Tetrazoles, and the Use Thereof

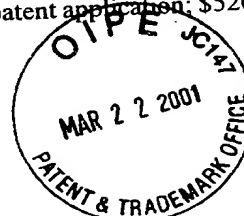
Due Date: March 24, 2001
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Examiner: To be assigned
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1. SKGF Cover Letter (*in duplicate*);
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3. USPTO Utility Patent Application Transmittal Form PTO/SB/05 (*in duplicate*);
4. U.S. Utility Patent Application entitled: Aryl Substituted Pyrazoles, Triazoles and Tetrazoles, and the Use Thereof, and naming as inventors: Derk J. HOGENKAMP, Phong NGUYEN, and Ji YANG, the application comprising: a. specification containing: i. 32 pages of description prior to the claims; ii. 9 pages of claims (23 claims); iii. a one (1) page abstract; b. an Application Data Sheet (37 C.F.R. § 1.76);
5. 37 C.F.R. § 1.136(a)(3) Authorization to Treat a Reply As Incorporating An Extension of Time (*in duplicate*);
6. Our check no. 30730 for \$1,230.00 to cover: \$710.00 filing fee for patent application; \$520.00 fee for excess claims; and
7. Two (2) return postcards.

Correspondence should be sent to Customer Number 26111.

March 22, 2001



Box: Patent Application

IDS due 6/22/01
Foreign Filing deadline 3/22/02
For Advance Notification of Application Number

